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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 024051/0135

Applicant: Gary Alan KEMP II, et al.

Title: CLICK BASED TRADING WITH MARKET DEPTH  
DISPLAY

Serial No.: 09/590,692

Filed: June 9, 2000

Examiner: Weisberger, R.

Art Unit: 2164

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Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.56 and 37 CFR § 1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The instant Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c), after the mailing date of a first Office action on the merits, but before the mailing date of a final Office Action or a notice of allowance. Accordingly, a certification or fee is required.

**FEE**

A fee in the amount as set forth in 37 C.F.R. §1.17(p) is attached.

Applicants respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

*MARCH 12, 2002*

Date



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Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby authorized to charge Deposit Account No. 19-0741 for any such fees; and applicant(s) hereby petition for any needed extension of time.